

# ELEGANT



Professional and Management  
Development Training

## Chartered Institute of Securities & Investment Qualifications (CISI)

The CISI is the largest and most widely respected professional body in UK awarding perfect qualifications to those who work in securities and investment industries ensuring that the practitioners in the financial services industry attain the competence they need.

### CISI Courses that we offer

- Risk in Financial Services
- Introduction to Securities & Investment(International)-Level 1
- UAE Financial Rules & Regulations
- Global Securities
- International Certificate in Wealth and Investment Management-Level 3
- Fundamentals of Financial Services
- Global Financial Compliance

Edition 11, May 2024



This workbook relates to syllabus  
version 11.0 and will cover exams on  
11 August 2024 to 10 August 2026

Station, Dubai, United Arab Emirates Telephone: +971 04 399 7800, Mobile / WhatsApp: +971 50 675 3526

Email: [Info@elegant-training.ae](mailto:Info@elegant-training.ae), Web: [www.elegant-training.ae](http://www.elegant-training.ae)



## Risk in Financial Services

### Key features

- A comprehensive global introduction to the major risk areas in financial services
- It provides a sound grounding in the principles of the risk management framework and corporate governance and risk oversight.
- It covers specific techniques used in identifying, reducing and managing operational risk, credit risk, market risk, investment risk, liquidity risk and model risk
- Covers all key risks in financial services – operational, credit, market, investment and liquidity
- Globally portable – addresses international issues, reflecting the needs of a worldwide market
- Addresses techniques used to identify, reduce and manage specific aspects of risk
- Free CISI Student membership
- Taken as a stand-alone qualification or as part of the Investment Operations Certificate (IOC)
- Become associated with a Chartered professional
- [Access to Associate membership and ACSI designatory letters](#)



## Risk in Financial Services

### SCA-UAE licensing regime mandates this qualification for:

- Broker Representative
- Risk Management Officer
- Compliance Officer
- Financial Analysts
- Anti Money Laundering Officer
- Investment Managers
- Internal Controller
- Chief Investment Officers
- Trading Manager
- Promoters, CEOS, Directors, Branch Managers
- Operations Manager
- Fresh Graduates/New entrants/Staffs looking to enter the financial services/investment industry

### Recommended study time-100 hours

### Training Methodologies

- Course Materials from CISI with e-learning portal upon registration
- Past Questions & Answers review
- Case Studies & Presentation



## Risk in Financial Services

### Exam Syllabus/Course Outlines

Topics	No of Questions
Principles of Risk Management	14
International Risk Regulation	7
Operational Risk	15
Chapter-4-Credit Risk	15
Chapter 5-Market Risk	15
Chapter 6-Investment Risk	11
Chapter 7-Liquidity Risk	10
Chapter 8-Model Risk	3
Chapter 9-Risk Oversight and Corporate Governance	5
Enterprise Risk Management (ERM)	5
<b>Total</b>	<b>100</b>

### Exam Formats

- 2 Hours exam-CBT test-100 MCQ
- Pass marks-70%
- Pass rate-60%
- 10% extra questions
- Recommended study-100 hours
- Can be taken any time of the year
- Prometric Centers-Dubai & Abu Dhabi
- Result declaration online-within 24 hours

### Benefits of this qualification/certification

- Global recognition
- High level of competence
- Specialization in the course
- Full study resources
- CISI Student membership

### Pre-requisites

- No previous skills or qualifications



## Risk in Financial Services

### Course Fee and Terms & Conditions

Course fee: Negotiable

Duration Up to 30-35 Hours

- Early Bird Discount: A 10% discount when you register **1 month** prior to the course's start date
- **Student Discount:** A special **20%** discount for university students.
- Get **15%** discount, when **three or more individuals** from the same organization register
- Pre-payment is required for all enrolments.
- No cancellation charges before 15 days of the start of the batch

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## Contact Us

Call/WhatsApp: 04-399 7800, 050 675 3526

Thank you