

## **Exam Preparation**

# **Combating Financial Crime**

## **Investment Operations Certificate**

### **About CISI-the Certifying Body-UK**

The CISI (Chartered Institute of Securities and Investment) is the largest and most widely respected professional body in the UK awarding perfect qualifications to those who work in securities and investment industries ensuring that the practitioners in the financial services industry attain the competence they need.

### **Objective of the Examination**

The objective of the examination is to ensure that candidates have a basic knowledge of the regulations and practices related to combating financial crime. The examination will test candidates' knowledge and understanding of the following elements, refer to syllabus below:

### **Exam Syllabus/Course Outlines/Weightage**

- 1-The Background and Nature of Financial Crime-7 Marks
- 2-Money Laundering – 8 Marks
- 3-Terrorist Financing – 4 Marks
- 4-Bribery and Corruption – 6 Marks
- 5-Fraud and Market Abuse – 4 Marks
- 6-Tax Evasion - 4 Marks
- 7-Financial Sanctions – 4 Marks
- 8-Financial Crime Risk Management – 13 Marks

Total 8 chapters, 50 Marks

### **Benefits of this qualification/certification:**

- Global recognition
- High level of competence
- Specialization in the course
- Full study resources
- CISI Student membership
- ACSI designatory Letters

**On completion of the course, the candidate should:**

- Know the role of the Money Laundering Reporting Officer (MLRO) and the Nominated Officer (NO) or equivalents
- Understand the responsibilities of Directors and senior management in relation to CFC under the Senior Managers and Certification Regime (SM&CR) or equivalent individual accountability regimes.
- Know regulators' expectations of firms with respect to adequate management systems and controls to combat financial crime

**For whom is the course?**

Banking and Insurance Professionals  
Sales Professionals and Product Managers  
Auditors & Consultants  
Brokers, Traders and Trading Managers  
Financial Analysts & Advisors  
Wealth Managers, Investment Managers, Fund Managers and Assets Managers  
Finance Professionals  
Promoters, CEOs, Directors, Branch Managers  
Fresh Graduates

**Pre-requisites:** No previous skills or qualifications

**Your Steps to earn this certification course.**

Enroll with ELEGANT for exam preparation.  
Register with CISI and book your exam-we will assist you.  
Exam Fee AED 1200 Approx.  
Get your Certificate!  
Earn your designatory letters-ACSI.

**Our Training Methodologies and what we provide:**

Physical in Person and Online Live Classes  
Total Duration of the training-25-30 hours  
Special Study Materials prepared by ELEGANT.  
Text Materials from CISI, Past Questions & Answers review  
Mock Tests for each Chapter, Recorded Classes, Access to LMS

## **Exam Format**

1 Hour Exam-CBT test-50 MCQ-50 marks

10% extra trial questions

Pass marks-70%-No negative marking.

Pass rate-55-60%

Recommended study-100-125 hours.

Can be taken any time of the year.

Prometric Centers-Dubai & Abu Dhabi and all other countries

Result declaration same time

Refer site: [WWW.CISI.ORG](http://WWW.CISI.ORG)

**Training Duration:** 25-30 hours-Weekend and weekdays class as per convenience.

**Fees:** Negotiable-Please call/visit us

## **Our students are from:**

UAE, KSA, Oman, UK

HSBC, Standard Chartered, Citi, RAK, Noor Bank, FAB, DIB, EIB, Al Masraf

Takaful, Orient Insurance, DFM, Taurex and many more.

## **Our Trainers:**

Are experts in the field of finance and investment.

30 years of Industry and Training Experience

Their qualifications are CA, CPA, CFA, CMA, ACSI, DipIFR

## **Our other CISI/Banking/Financial Courses**

IIIS-Introduction to Investment and Securities-Level 1

Fundamentals of Financial Services-Level 2

International Certificate in Wealth and Investment Management-ICWIM-Level 3

International Certificate in Advanced Wealth Management-Level 4

UAE Financial Rules and Regulations

Risks in Financial Services

Global Securities

Operational Risk

Global Financial Compliance

Other Financial Courses-CFA, CPA, CMA, ACCA, CA, IFRS

## **About ELEGANT TRAINING**

At ELEGANT Training, we provide educational training to professionals and management personnel improving their career, skills, expertise, competence and business performance. We specialize in affordable and effective face-to-face training in a variety of courses to meet the needs of today's business. We believe in relevant and adequate training by expert professionals for individuals as well as corporate clients.

## **Why Choose ELEGANT?**

Comprehensive Classroom/Online training	Materials, presentation slides-hardcopies
Practical example and case studies	Mock tests
E-Learning support tools	Study until you pass
Flexible timings/weekend classes	Assistance in placement
Flexible payment plans	KHDA approved

## **Contact Us:**

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