

Exam Preparation

CISI – UAE Financial Rules and Regulations

About CISI-the Certifying Body-UK

The CISI (Chartered Institute of Securities and Investment) is the largest and most widely respected professional body in UK awarding perfect qualifications to those who work in securities and investment industries ensuring that the practitioners in the financial services industry attain the competence they need.

Regarding the course-UAE Financial Rules and Regulations about?

The CISI UAE Financial Rules & Regulations course is about the regulatory environment governing the UAE's financial services sector, including key principles, market structures, and products. It covers the UAE's financial and banking industry guidelines, the Securities and Commodities Authority (SCA) requirements, ethical conduct, anti-money laundering rules, client protection, and trading regulations. The course is essential for professionals working in UAE's financial landscape to ensure compliance and professional integrity.

What does the UAE Rules and Regulations Course Covers?

The objective of the examination is to ensure candidates have a basic knowledge of the regulations and legislation underpinning the financial markets and the conduct of investment business in the United Arab Emirates.

Taken as a stand-alone qualification.

Free CISI Student membership

ACSI Designatory letters

Available to all, no entry level qualification required.

For whom is the course?

Banking and Insurance Professionals

Sales Professionals and Product Managers

Auditors & Consultants

Brokers, Traders and Trading Managers

Financial Analysts & Advisors

Wealth Managers, Investment Managers, Fund Managers and Assets Managers

Finance Professionals



Promoters, CEOS, Directors, Branch Managers
Fresh Graduates

Pre-requisites: No previous skills or qualifications

Benefits of this qualification/certification:

Global recognition
High level of competence
Specialization in the course
Full study resources
CISI Student membership
ACSI designatory Letters

Your Steps to earn this certification course.

Enroll with ELEGANT for Training and exam preparation.
Register with CISI and book your exam-we will assist you.
Exam Fee AED 1,200 Approx.
Appear for the exam-anytime, result same time.
Get your Certificate!
Earn your designatory letters-ACSI.

Our Training Methodologies and what we provide:

Physical in Person and Online **Live Classes**
Special Study Materials prepared by ELEGANT-in hard copy book.
E-reading access to materials
Text Materials from CISI
Past Questions & Answers review
Mock Questions and answers for each Chapter
Mock test links
Recorded Classes

Exam Format

2 Hours exam-CBT test-100 MCQ-100 marks

10% extra trial questions

Pass marks-70%-No negative marking.

Pass rate-55-60%

Recommended study-125-150 hours.

Can be taken any time of the year.

Prometric Centers-Dubai & Abu Dhabi and all other countries

Result declaration same time

Refer site: WWW.CISI.ORG

Exam Syllabus/Course Outlines-8 Chapters

The Regulatory Infrastructure – 11 Marks

Licensed Bodies – 14 Marks

Investment Funds – 15 Marks

Markets – 14 Marks

Anti-Money Laundering & CFT - 14 Marks

Client Protection - 10 Marks

Trading – 11 Marks

Dubai Financial Market (DFM) – 11 Marks

Training Duration: 20-25 hours-Weekend and weekdays class as per your convenience.

Fees: Negotiable-Please call/visit us

No of Students trained = 550 +

Our students are from:

UAE, KSA, Oman, UK

HSBC, Standard Chartered, Citi, RAK, Noor Bank, FAB, DIB, EIB

Takaful, Orient Insurance, DFM, Taurex

Our Trainers:

Are experts in the field of finance and investment.

30 years of Industry and Training Experience

Their qualifications are CA, CPA, CFA, CMA, ACSI, DipIFR

Our other CISI/Banking/Financial Courses

IISI-Introduction to Investment and Securities-Level 1

Fundamentals of Financial Services-Level 2

ICWIM-Level 3

Risks in Financial Services

Combating Financial Crime

Securities

Operational Risk

Financial Courses-CFA, CPA, CMA, ACCA, CA, IFRS

How to start your training with us?

Fill in the enrolment form- <https://forms.gle/dws9vcCHDjsartf98>

Make the payment.

We will send you the materials and add you to the group

Then you are ready for class

About ELEGANT TRAINING

At ELEGANT Training, we provide educational training to professionals and management personnel improving their career, skills, expertise, competence and business performance. We specialize in affordable and effective face-to-face training in a variety of courses to meet the needs of today's business. We believe in relevant and adequate training by expert professionals for individuals as well as corporate clients.

Why Choose ELEGANT?

Comprehensive Classroom/Online training	Materials including presentation slides
Practical example and case studies	Mock tests
E-Learning support tools	Recorded sessions-LMS
Flexible timings/weekend classes	Assistance in placement
Flexible payment plans	KHDA approved

CISI Office Locations:

London, UK – Head Office

Chartered Institute for Securities & Investment
20 Fenchurch Street
London
EC3M 3BY
United Kingdom
E: customersupport@cisi.org
T: +44 (0)20 7645 0777

Dubai, UAE

Chartered Institute for Securities & Investment
303, 3rd Floor
Saba Tower 1
Jumeirah Lake Towers
Dubai, UAE
E: uae.office@cisi.org
T: +971 566701722

Mumbai, India

Chartered Institute for Securities & Investment
We Work Building, Chromium, Jogeshwari
Vikhroli Link Rd, Andheri East,
Mumbai, Maharashtra 400076
India
E: southasia.office@cisi.org
T: +91 22 42219400

Call today to register – 054 749 5664, 04 399 7800

Register at: <https://forms.gle/dws9vcCHDjsartf98>