

## CISI - International Certificate in Wealth and Investment Management-ICWIM-LEVEL 3

**Edition 7-2nd March 2025 to 1<sup>st</sup> March 2027**

### About CISI-the Certifying Body-UK

The CISI (Chartered Institute of Securities and Investment) is the largest and most widely respected professional body in UK awarding perfect qualifications to those who work in securities and investment industries ensuring that the practitioners in the financial services industry attain the competence they need.

### What is ICWIM?

The ICWIM certification course is relevant for professionals in wealth and investment management. SCA, the regulator, is mandating this certification course to be obtained by the professionals in the financial services sector who manage the wealth of their clients.

### What the ICWIM Course Covers?

The course covers the essentials of financial planning, private client asset management, fund management, advisory functions and investment analysis from a global perspective, range of assets available in the market such as equities, bonds, derivatives, investment funds, retirement planning, loans, mortgages and life assurance retirement and protection planning and lifetime financial provision etc.

Taken as a stand-alone qualification.

Free CISI Student membership

ACSI Designatory letters

Available to all, no entry level qualification required.

### For whom is the course?

Banking and Insurance Professionals

Sales Professionals and Product Managers

Auditors & Consultants

Brokers, Traders and Trading Managers, Compliance Officers

Financial Analysts & Advisors

Wealth Managers, Investment Managers, Fund Managers and Assets Managers



Finance Professionals

Promoters, CEOs, Directors, Branch Managers

Fresh Graduates

**Pre-requisites:** No previous skills or qualifications

### **Benefits of this qualification/certification:**

Global recognition

High level of competence

Specialization in the course

Full study resources

CISI Student membership

ACSI designatory Letters

### **Your Steps to earn this certification course.**

Enroll with ELEGANT for Training and exam preparation.

Register with CISI and book your exam-we will assist you.

Exam Fee AED 1500 Approx.

Appear for the exam-anytime, result same time.

Get your Certificate!

Earn your designatory letters-ACSI.

### **Our Training Methodologies and what we provide:**

Physical in Person and Online **Live Classes**

Special Study Materials prepared by ELEGANT-in hard copy book.

E-reading access to materials

Text Materials from CISI

Past Questions & Answers review

Mock Questions and answers for each Chapter

Mock Test Links

Unlimited Sessions (3 consecutive batches – 6 months)

## Exam Format

2 Hours exam-CBT test-100 MCQ-100 marks

10% extra trial questions

Pass marks-70%-No negative marking.

Pass rate-55-60%

Recommended study-150-160 hours.

Can be taken any time of the year.

Prometric Centers-Dubai & Abu Dhabi and all other countries

Result declaration same time

Refer site: [WWW.CISI.ORG](http://WWW.CISI.ORG)

## **Exam Syllabus/Course Outlines**

1. The Financial Services Industry – 4 Marks
2. Industry Regulation – 5 Marks
3. Assets Classes and Financial Assets – 14 Marks
4. Collective Investments - 7 Marks
5. Economic and Investment Analysis – 21 Marks
6. Investment Management – 15 Marks
7. Investment Advice - 21 Marks
8. Lifetime Financial Provision – 13 Marks

**Training Duration:** 30-35 hours-Weekend and weekdays class as per your convenience.

**Fees:** Negotiable-Please call/visit us

**No of Students trained** = 1,000+

## Our students/clients

B2B, B2C

UAE, KSA, Oman, UK

HSBC, Standard Chartered, Citi, RAK, Noor Bank, FAB, DIB, EIB

Takaful, Orient Insurance, DFM

## **Our Trainers:**

Are experts in the field of finance and investment.

30 years of Industry and Training Experience

Their qualifications are CA, CPA, CFA, CMA, ACSI, DipIFR

### Our other CISI/Banking/Financial Courses

IISI-Introduction to Investment and Securities-Level 1

Fundamentals of Financial Services-Level 2

ICWIM-Level 3

Risks in Financial Services

Combating Financial Crime

Securities

Operational Risk

Financial Courses-CFA, CPA, CMA, ACCA, CA, IFRS

### How to start your training with us?

Fill in the enrolment form - <https://forms.gle/cC5NikqUN8oSEyoK6>

Make the payment.

We will send you the materials and add you to the group

Then you are ready for class

### About ELEGANT TRAINING

At ELEGANT Training, we provide educational training to professionals and management personnel improving their career, skills, expertise, competence and business performance. We specialize in affordable and effective face-to-face training in a variety of courses to meet the needs of today's business. We believe in relevant and adequate training by expert professionals for individuals as well as corporate clients.

### Why Choose ELEGANT?

|                                    |  |
|------------------------------------|--|
| Comprehensive Classroom training   | Materials including presentation slides    |
| Practical example and case studies | Mock tests                                 |
| E-Learning support tools           | Unlimited sessions (3 consecutive batches) |
| Flexible timings/weekend classes   | Assistance in placement                    |
| Flexible payment plans             | KHDA approved                              |

**CISI Office Locations:**

**London, UK – Head Office**

Chartered Institute for Securities & Investment  
20 Fenchurch Street  
London  
EC3M 3BY  
United Kingdom  
E: [customersupport@cisi.org](mailto:customersupport@cisi.org)  
T: +44 (0)20 7645 0777

**Dubai, UAE**

Chartered Institute for Securities & Investment  
303, 3rd Floor  
Saba Tower 1  
Jumeirah Lake Towers  
Dubai, UAE  
E: [uae.office@cisi.org](mailto:uae.office@cisi.org)  
T: +971 566701722

**Mumbai, India**

Chartered Institute for Securities & Investment  
We Work Building, Chromium, Jogeshwari  
Vikhroli Link Rd, Andheri East,  
Mumbai, Maharashtra 400076  
India  
E: [southasia.office@cisi.org](mailto:southasia.office@cisi.org)  
T: +91 22 42219400

**Call today to register**

<https://forms.gle/cC5NikqUN8oSEyoK6>

**Sample Certificate from CISI**

Unit Accreditation Number:

Certificate Number:



This is to certify that

has achieved a  
Pass

Wealth & Investment Management

Date awarded

**30 March 2024**

20 Fenchurch Street, London EC3M 3BY

[cisi.org](http://cisi.org)



  
Mandy Gill  
Executive Director of Global Learning

**How the score card looks like**



85

Candidate name: [REDACTED]

Candidate number: 958958

Exam taken: International Certificate in Wealth and Investment Management

Date of exam: 28 10 2025

Your exam result is: Pass

Please find below the breakdown of the score report from your recent exam. The table shows the percentage of questions for each Learning Outcome that you answered correctly. If you cannot see the breakdown, then you can access it by following the link at the foot of this email.

| Learning Outcome                    | Score (%) |
|-------------------------------------|-----------|
| Asset Classes and Financial Markets | 92        |
| Collective Investments              | 85        |
| Economics and Investment Analysis   | 86        |
| Industry Regulation                 | 83        |
| Investment Advice                   | 85        |
| Investment Management               | 73        |
| Lifetime Financial Provision        | 84        |
| The Financial Services Sector       | 100       |



81

**Candidate name: Muhammad**

**Hussain [REDACTED]**

**Candidate number: 953401**

**Exam taken: International  
Certificate in Wealth and  
Investment Management**

**Date of exam: 02 09 2025**

**Your exam result is: Pass**



Candidate name: [REDACTED]

Candidate number: 948763

Exam taken: International Certificate in Wealth and Investment Management

Date of exam: 28 10 2025

Your exam result is: Pass

Please find below the breakdown of the score report from your recent exam. The table shows the percentage of questions for each Learning Outcome that you answered correctly. If you cannot see the breakdown, then you can access it by following the link at the foot of this email.

| Learning Outcome                    | Score (%) |
|-------------------------------------|-----------|
| Asset Classes and Financial Markets | 92        |
| Collective Investments              | 71        |
| Economics and Investment Analysis   | 77        |
| Industry Regulation                 | 100       |
| Investment Advice                   | 80        |
| Investment Management               | 66        |
| Lifetime Financial Provision        | 76        |
| The Financial Services Sector       | 66        |

Rahul Kumar Singh  
PO BOX 122 504  
DUBAI



Pass  
75

Test Date: Feb 01, 2025  
Confirmation Number: 8870000003208189

## International Certificate in Wealth and Investment Management

### Provisional Result: Pass

Candidates should note that, in accordance with the CISI Exam Regulations, disclosure of the content of questions in this examination to any person is strictly prohibited.

Feedback on this examination is very welcome. Should you wish to submit Feedback or raise a concern for this examination, please do so via the forms in the Feedback section of our website [www.cisi.org/feedback](http://www.cisi.org/feedback).

You will be able to check your qualification status by visiting the examination results section of the CISI website [www.cisi.org/mycisihome](http://www.cisi.org/mycisihome). The data will be available within 72 hours of your examination sitting. You will need your candidate number and password to view your result. If you do not know your password you will be able to request this by following the link above.



Test Date: Feb 12, 2025

Confirmation Number: 8870000003215139

## International Certificate in Wealth and Investment Management

Provisional Result: Pass

Candidates should note that, in accordance with the CISI Exam Regulations, disclosure of the content of questions in this examination to any person is strictly prohibited.

Feedback on this examination is very welcome. Should you wish to submit Feedback or raise a concern for this examination, please do so via the forms in the Feedback section of our website [www.cisi.org/feedback](http://www.cisi.org/feedback)

## Our successful Students' Review

### Elegant Training Center

[Write a review](#)

Al Attar Business Centre - 620 Sheikh Zayed St - near InsuranceMarket Metro Station  
- Al Barsha - Al Barsha 1 - Dubai - United Arab Emirates

4.8  953 reviews [i](#)

Dear Muhammad Hussain Anwar,

Thank you so much for this incredible review.

Congratulations on your outstanding achievement of scoring above 80% on your CISI ICWIM Level 3 exam! 🎉 We are so proud of your success.

We are thrilled to hear that Aditya Sir's expertise, the study materials, and the mock tests on our LMS were instrumental in your preparation. We truly appreciate you highlighting our 80%+ score reimbursement policy; we believe in investing in our students' success.

Thank you for choosing Elegant Training Center. Wishing you the very best in your wealth management career!



Elegant Training Center (owner)  
4 weeks ago

Dear Mostafa Nafady,

Thank you so much for your wonderful feedback!

We're delighted to hear that you had an excellent learning experience with us. Special thanks for appreciating Mr. Aditya's efforts — he'll be happy to hear this.

Congratulations on passing your CISI-SCA Exam successfully, and we truly appreciate your recommendation. Wishing you continued success ahead!



**humaidworld**

1 review

★★★★★ 8 hours ago **NEW**

Thanks for your guidance and support to clear the CISI level 3 exam specially mock test , questions and answers help me alot .

⋮



**Kalitham Alwatani**

★★★★★ 2 days ago **NEW**

⋮

**Response from the owner** 6 hours ago

Dear Kalitham Alwatani,

Thank you for your feedback and joining with us for your CISI- ICWIM Level 3 Exam Preparation Course.

**George Khoury**

5 reviews

⋮

★★★★★ 2 weeks ago **NEW**

They were very supportive, I passed the ICWIM-LEVEL 3



**Nitish Singh**

Local Guide · 11 reviews · 26 photos

⋮

★★★★★ 2 weeks ago **NEW**

Thanks to Elegant Training Centre, I am surrounded by an encouraging environment and equipped with well-structured study materials. This support has made it easier for me to understand important concepts and excel in the CISI ICWIM level -3 exam.

**SAMIA JAMAL**

3 reviews

⋮

★★★★★ 3 weeks ago **NEW**

lcwim-3 classes were a blessing as made my learning journey easy. Specially Aditya sir's guidance and support was very motivational.

2

⋮

**Response from the owner** 3 weeks ago

Dear Samia Jamal,

Congratulations on your success!

We're thrilled to hear that our ICWIM Level 3 classes made your learning journey ... [More](#)



Sajin S

13 reviews

★★★★★ a week ago

NEW

Excellent study material and is easy to understand . Chapter wise mock test and final mock test helps to understand about the topics. Thanks to Mr Aditya and his team for the great support .



Response from the owner a week ago

Dear Sajin S,

Thank you so much for your wonderful review and feedback! We are thrilled to hear that you found our study materials easy to understand and that the chapter-wise and final mock tests were helpful in your preparation.

Congratulations on passing the CISI- ICWIM Level 3 Exam! 🎉 Your hard work and dedication have

Ali Adrees

3 reviews · 1 photo

★★★★★ 3 days ago

NEW

I like the option of continuity of classes until you pass. this is amazing . thank you

I have passed ! It's time to Say Thank you



Divya Iyer

10 reviews

★★★★★ 5 minutes ago

NEW

Elegance is a place to reach out for the best guidance if you're planning to complete the ICWIM level 3 course. Amazing support by Mr. Aditya!!

Sunil Paul

5 reviews

★★★★★ 6 days ago

NEW

One of the best class room experience for both ICWIM level III and Esca. Their text book is the holy grail for your exam. Personally experienced their training and the best.

pankaj sharma

3 reviews

★★★★★ 3 hours ago

NEW

Excellent place for CISI-ICWIM-LEVEL 3 coaching



SS SS

1 review

★★★★★ 21 hours ago **NEW**

CISI Wealth & Investment Management (Level 3): Outstanding training provided by course trainer Aditya, who made sure all points were covered and well explained. He made himself available at all times. Reading the CISI WM & IM Book + material provided by Elegant Training Academy (specially prepared book, summary notes, mock tests and quizzes) helped to achieve a high pass rate. Six to eight weeks preparation required to complete content (Elegant training 3 to 4 weeks plus self-study 3 to 5 weeks). Highly recommend Aditya and Elegant Academy for this course.

UAE Rules & Regulations: Mock exams were very good preparation for the exam and helped to achieve a high pass rate.



**Stanly Thomas**

6 reviews

★★★★★ a week ago **NEW**

I cleared my CISI-ICWIM exam -LEVEL 3. They provide with Good training ,well prepared materials and Mock. Thank you ELEGANT Centre.



**letslive thedreamz**

Local Guide · 7 reviews · 1 photo

★★★★★ a week ago **NEW**

ICWIM-LEVEL 3 exam preparation training at ELEGANT, with their specially prepared materials and mocks, helped me clear my exam with good marks. Very good centre for the CISI courses. Thanks

**Aditya Bharadwaj**

1 review

★★★★★ a month ago

I took ICWIM-LEVEL 3 exam preparation course from ELEGANT and with their training approach supported by materials and mocks, I cleared the exam with a good score.



**Philip Nabih Lotfy**

Local Guide · 12 reviews · 6 photos

★★★★★ a month ago

I took exam preparation training from ELEGANT for CISI-ICWIM-Level 3. With the extensive materials and Mock questions and good explanations by the faculties with patience, I cleared my exam with good marks. I have started preparing through them for the UAE Rules Regulations. I recommend this institute for all you CISI courses.

**Mohammad Nadeef**

4 reviews

★★★★★ 3 months ago

I got ICWIM-Level 3 exam preparation training from ELEGANT. Their physical and online classes with well prepared notes and mock questions helped me pass my exam with good marks. Very professional instructors and very cooperative and I recommend to everybody interested to develop their career

## NAMIT SINGH

2 reviews

⋮

★★★★★ 3 months ago

I have passed my CISI - International Certification in wealth and investment management Level 3 with good score. Thanks to Elegant training center team for their support and guidance. Their own Material provided helped me a lot in clearing the exam.

## Contact Us:

[info@elegant-training.ae](mailto:info@elegant-training.ae), Telephone: 971 4 399 7800, Call/WhatsApp: 971 54 749 5664