

Exam Preparation

CISI – UAE Financial Rules and Regulations

About CISI-the Certifying Body-UK

The CISI (Chartered Institute of Securities and Investment) is the largest and most widely respected professional body in UK awarding perfect qualifications to those who work in securities and investment industries ensuring that the practitioners in the financial services industry attain the competence they need.

What does the UAE Rules and Regulations Course Covers?

The objective of the examination is to ensure candidates have a basic knowledge of the regulations and legislation underpinning the financial markets and the conduct of investment business in the United Arab Emirates.

Taken as a stand-alone qualification.

Free CISI Student membership

ACSI Designatory letters

Available to all, no entry level qualification required.

For whom the course is?

Banking and Insurance Professionals

Sales Professionals and Product Managers

Auditors & Consultants

Brokers, Traders and Trading Managers

Financial Analysts & Advisors

Wealth Managers, Investment Managers, Fund Managers and Assets Managers

Finance Professionals

Promoters, CEOS, Directors, Branch Managers

Fresh Graduates

Pre-requisites: No previous skills or qualifications

Benefits of this qualification/certification:

Global recognition

High level of competence

Specialization in the course



Full study resources
CISI Student membership
ACSI designatory Letters

Your Steps to earn this certification course.

Enroll with ELEGANT for Training and exam preparation.
Register with CISI and book your exam-we will assist you.
Exam Fee AED 1200 Approx.
Appear for the exam-anytime, result same time.
Get your Certificate!
Earn your designatory letters-ACSI.

Our Training Methodologies and what we provide:

Physical in person and Online Live Classes
Total Duration of the training-25-30 hours
Special Study Materials prepared by ELEGANT.
Text Materials from CISI
Past Questions & Answers review
Mock Tests for each Chapter
Recorded Classes
Unlimited sessions

Exam Format

2 Hours exam-CBT test-100 MCQ-100 marks
10% extra trial questions
Pass marks-70%-No negative marking.
Pass rate-55-60%
Recommended study-100-125 hours.
Can be taken any time of the year.
Prometric Centers-Dubai & Abu Dhabi and all other countries
Result declaration same time
8 CPD hours on successful completion
Refer site: WWW.CISI.ORG



Exam Syllabus/Course Outlines

The Regulatory Infrastructure

Licensed Bodies

Investment Funds

Markets

Anti-Money Laundering

Client Protection

Trading

Dubai Financial Market (DFM)

Training Duration: 25-30 hours-Weekend and weekdays class as per your convenience.

Fees: Negotiable-Please call/visit us

No of Students trained = 550 +

Our students are from:

UAE, KSA, Oman, UK

HSBC, Standard Chartered, Citi, RAK, Noor Bank, FAB, DIB, EIB

Takaful, Orient Insurance, DFM, Taurex

Our trainers:

Are experts in the field of finance and investment.

30 years of Industry and Training Experience

Their qualifications are CA, CPA, CFA, CMA, ACSI, DipIFR

Our other CISI/Banking/Financial Courses

IISI-Introduction to Investment and Securities-Level 1

Fundamentals of Financial Services-Level 2

ICWIM-Level 3

International Certificate in Advanced Wealth Management-Level 4

Securities

Risks in Financial Services

Operational Risk

DIFC Financial Rules and Regulations

Financial Courses-CFA, CPA, CMA, ACCA, CA, IFRS



How to start your training with us?

Fill in the enrolment form.

Make the payment.

We will send you the presentation slides.

Then you are ready for class

About ELEGANT TRAINING

At ELEGANT Training, we provide educational training to professionals and management personnel improving their career, skills, expertise, competence and business performance. We specialize in affordable and effective face-to-face training in a variety of courses to meet the needs of today's business. We believe in relevant and adequate training by expert professionals for individuals as well as corporate clients.

Why Choose ELEGANT?

Comprehensive Classroom/Online training	Materials including presentation slides
Practical example and case studies	Mock tests
E-Learning support tools	Study until you pass
Flexible timings/weekend classes	Assistance in placement
Flexible payment plans	KHDA approved

Sample Certificate/Score Card from CISI

MAHABIR PRAJAPATI
FLAT-1504
UAE

CISI
CHARTERED INSTITUTE FOR
SECURITIES & INVESTMENT

Test Date: Dec 09, 2023
Confirmation Number: 8870000002908010

UAE Financial Rules and Regulations

Provisional Result:Pass

Candidates should note that, in accordance with the CISI Exam Regulations, disclosure of the results of questions in this examination to any third party is strictly prohibited.

Feedback on this examination is very welcome. Should you wish to submit Feedback or raise a concern for this examination, please do so via the forms in the Feedback section of our website www.cisi.org/feedback.

You will be able to check your qualification status by visiting the examination results section of the CISI website www.cisi.org/examresults. The data will be available within 72 hours of your examination sitting. You will need your candidate number and password to view your result. If you do not know your password you will be able to request this by following the link above.

Important: Results Sharing

Occasionally an employer or third party may wish to access details of your performance in a CISI examination on the basis that they do not release this data to anyone else apart from you. You can choose who you share your results with on our www.cisi.org/resultsdashboard.

This letter does not provide evidence of any qualification and it may not be used as a substitute for an examination certificate.

AM, and CFT and IFREG Organizations
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Students' Review



khyati barchha

4 reviews

★★★★★ 4 hours ago **NEW**

I have used material for UAE rules n regulations and it was very helpful and excellent



Rk global

2 reviews

⋮

★★★★★ 4 weeks ago

one of the best training center in UAE and its has highly qualified team of people, their approach and guidance is very good . My special thanks to Mr. Aditya Sahoo he is available all the time whenever students need him, because of him only i could clear CISI UAE RULES & REGULATION exam in 10 days.

Nick Cooke

5 reviews

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★★★★★ a week ago **NEW**

I took the CISI-SCA-UAE Rules and Regulations exam through Elegant Training and trained specifically by Aditya. A really difficult exam made easier by Aditya who broke down the material into bite sized chunks. The information was delivered clearly and made easier to understand. He also delivered mock exam questions across the course which made the learning process quicker.

I would highly recommend for anyone looking for training on financial regulations. Thanks Aditya!

Samir Khoury

1 review · 1 photo

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★★★★★ 2 weeks ago **NEW**

Extremely valuable training and really helpful trainer. Thank you Mr. Aditya for your guidance and support.



Kinly Nassour

4 reviews

★★★★★ a month ago

CISI UAE financial rules and regulations course was so efficient and rewarding.

Contact Us:

info@elegant-training.ae, Telephone: 971 4 399 7800, Call/WhatsApp: 971 54 749 5664